



## Risk Rating Quick Guide

This tool has been adopted to help support, not replace, the professional judgement of assessors with risk management decisions. The guide is to help assessors explore the potential severity and consequences of the risks they have identified. It is designed to support staff when identifying severe and high risk and in their decision to undertake a more detailed adult care risk assessment and management plan. Severe and high risk would normally be subject to a risk assessment and management plan, please refer to the full guidance for more details.

| Risk Rating Tool  |              |            |            |            |            |                |
|---|--------------|------------|------------|------------|------------|----------------|
| Likelihood                 |              | 1          | 2          | 3          | 4          | 5              |
|  Risk consequence / impact |              | Rare       | Unlikely   | Possible   | Likely     | Almost Certain |
| 5   | Catastrophic | 5 Moderate | 10 High    | 15 Severe  | 20 Severe  | 25 Severe      |
| 4   | Major        | 4 Moderate | 8 High     | 12 High    | 16 Severe  | 20 Severe      |
| 3   | Moderate     | 3 Low      | 6 Moderate | 9 High     | 12 High    | 15 Severe      |
| 2   | Minor        | 2 Low      | 4 Moderate | 6 Moderate | 8 High     | 10 High        |
| 1   | Negligible   | 1 Low      | 2 Low      | 3 Low      | 4 Moderate | 5 Moderate     |

| Likelihood     |  |   |
|----------------|--|---|
| Almost certain | Will probably occur frequently                               | 5 |
| Likely         | Will probably occur frequently but not as a persistent issue | 4 |
| Possible       | May occur  | 3 |
| Unlikely       | Not expected to occur  | 2 |
| Rare           | Would only occur in exceptional circumstances                | 1 |

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| CONSEQUENCE |  |  |  |  |  |   |
|-------------|--|--|--|--|--|---|
| Level       | Injury/risk of harm to the assessed person | Injury/ risk of harm to others   | Environmental risks  | Cost to individual/and others  | Risk/cost to organisation as public service  |   |
| <b>5</b>    | <b>Catastrophic</b>                        | Unanticipated death.<br>Death caused by neglect / deterioration or exacerbation of existing condition / malnutrition/ dehydration / injury.<br>Repeated severe abuse.<br>Multiple /severe injuries.  | Risk to the public / carer / family member / children/ staff of unanticipated death or severe injury from / assaults / environmental safety / unsafe working conditions / fire safety / road safety and dangerous / violent behaviour. | Unanticipated death / severe injury of person and others caused by fire / gas explosion / carbon monoxide / flood.<br>Infection and illness caused by insanitary working conditions.<br>Road traffic accident. Unsafe working environment. | Unanticipated death.<br>Severe deterioration in health and wellbeing of individual and others.<br>Carer breakdown.   | National adverse publicity, irreparable damage to reputation, litigation etc.     |
| <b>4</b>    | <b>Major</b>                               | Major permanent loss of function related to acts of abuse / severe self-neglect / non-compliance. Severe mental / physical deterioration.<br>Malnutrition / dehydration present.<br>Grade 4 pressure damage.<br>Total loss of independence.<br>Requires hospitalisation / admission to care.           | Risk to the public / carer / family member / children/ staff of major permanent loss of function from / assaults / environmental safety / unsafe working conditions / fire safety / road safety and dangerous / violent behaviour.     | Major permanent loss of function to person and others caused by fire / gas explosion / carbon monoxide / flood. Infection and illness caused by insanitary working conditions. Road traffic accident. Unsafe working environment.          | Prolonged medical admission, change to living arrangements / total loss of independence.<br>Significant impact on health and well-being of individual and others.<br>Risk of carer withdrawal. | Widespread /sustained adverse publicity, increased public and regulatory scrutiny |
| <b>3</b>    | <b>Moderate</b>                            | Semi-permanent harm from abuse or other causes leading to 1month-1yr of increased support and rehabilitation, some loss to independence. Some level of self-neglect / non-compliance.<br>Mental and physical deterioration.<br>Risk of malnutrition / dehydration present.<br>Grade 3 pressure damage. | Risk to the public / carer / family member / children/ staff of semi-permanent harm from assault / environmental safety / unsafe working conditions / fire safety / road safety and dangerous / violent behaviour.                     | Semi- permanent injury / harms to others caused by fire / gas explosion / carbon monoxide / flood. Infection and illness caused by insanitary working conditions. Road traffic accident. Unsafe working environment.                       | Medium to low level harm, to individual and others.<br>Semi-permanent, loss of independence etc.<br>Carer experiencing difficult with caring role..  | Widespread or low profile adverse publicity                                       |
| <b>2</b>    | <b>Minor</b>                               | Short-term injury, one-off incident, or one off incident of abuse.<br>Experiencing some difficult meeting daily living needs. Development of pressure sores grade 2 and above.   | Risk to the public / carer / family member / children/ staff of short-term injury or one off incident of abuse.  | Property requires minor adaptation.<br>Trip hazards present.   | No real loss of independence or level of function to individual and others. Carer under some strain.   | Adverse publicity   |
| <b>1</b>    | <b>Negligible</b>                          | Minor harm, one off incident or need for service.  | Risk minor.  | Accommodation meets needs  | No impact.   | None  |