# **Bolton Council**

# **CHILDRENS SOCIAL CARE**

# COMPLAINTS AND REPRESENTATION PROCEDURES

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#### 1. Introduction

- 1.1 This policy sets out the Council's duties when responding to Statutory complaints about Children's Social Care and is the policy from which the procedures for managing service user complaints are developed and where the specific instructions are defined.
- 1.2 The policy does not cover complaints which fall outside of the definitions in section 4. Complaints which are not covered in Section 4, including those about Public Health and Schools, are covered by the Council's Corporate Complaints policy.

#### 2. Background

- 2.1 Bolton Council is a learning organisation. This means that we value the feedback our service users give us as it helps us to continually improve the quality of our services.
- 2.2 All feedback from service users about our services is seen as an opportunity to learn and to improve the services we provide or commission.
- 2.3 Social care staff work very hard to get the job right first time but, with busy services, mistakes can happen. However, if services can get their response to these mistakes right, the people affected are less likely to be unhappy and future problems can be prevented.
- 2.4 When a mistake has happened, it is important to acknowledge it, put things right quickly and learn from the experience.
- 2.5 In every case, those who complain should feel confident that their complaint will be dealt with properly in other words, that it will be handled efficiently and investigated.
- 2.6 Children's Service are committed to the protection of service users and is committed to the 'duty of candour' which places a duty on all professionals to be open and frank with service users.

We will ensure as far as practically possible, that:

- Service users, or where appropriate, their next of kin, will be fully informed of any
  incident in our care which is suspected of having caused or may result in harm to
  the service user in the future
- That staff are provided support in reporting incidents

#### 3. Aims

- 3.1 The aims of this policy are
  - To ensure statutory Children's Social Care complaints are handled in the correct way.
  - To ensure the Department 'learns lessons' from the experiences of our service users and improves the quality of services as a result.
  - To help create a culture that encourages people to share their experiences so that we can make services more effective, personal and safe.

#### 4. Context

- 4.1 These procedures are based on the content of the following statutory instruments and guidance issued as Section 7 Guidance under the Local Authority Social Services Act 1970:
  - Statutory Instrument 2006 No 1738 The Children Act 1989 Representation Procedure (England) Regulations 2006.
  - Getting the Best from Complaints Social Care Complaints and Representations for Children, Young People and Others.
  - NHS Bodies and Local Authorities (Partnership Arrangements, Care Trusts, Public Health and Local Healthwatch) Regulations 2012/3094.
  - Data Protection Act 2018
  - Equalities Act 2010.
  - Human Rights Act 1998

#### 5. Definitions

- 5.1 The Council's definition of a child is a person aged Under 18 and under 19 if a child with a diagnosed disability
  - "A complaint is a way of letting the Council know that you are not happy with a particular service. A complaint may be about delay, lack of response, discourtesy or about the standard of service you have received".
- 5.2 A statutory social care complaint is set out in law and is anything which relates to the social care services that the Council either provides or commissions.
- 5.3 Complaints falling outside of this description are dealt with under the Corporate Complaints Procedure.
- 5.4 Service users can offer their comments at any time to any service that we provide or that we commission we welcome feedback. Service users should be reassured that the service they are receiving will never be adversely affected by giving feedback and service users can comment anonymously if they wish. General comments do not form part of the formal complaints procedure and if service users wish to complain about social care services this policy will apply. Making general political comments about Social Care Services is also not part of this Policy or the complaints procedure.

#### 6. Managing Statutory Social Care Complaints

- 6.1 Where possible, complaints should be resolved quickly and informally. Informal resolution is often what the service user wants and is a far more effective and efficient use of staff resources.
- 6.2 Where informal resolution is not possible, it must be considered whether this policy applies or whether it should be dealt with under an alternative process
- 6.3 Statutory Children's social care complaints follow a multi-stage process which ensures formal complaints are investigated thoroughly and complainants have a right to an appeal.
- 6.4 Complaints which fall into the definitions outlined in **Section 4 of this policy** should follow the following process.
  - Stage 1 Investigation
  - Stage 2 Appeal
  - Stage 3 Review Panel
  - Local Government Ombudsman
- 6.5 Following completion of this process, the complainant has recourse to the Ombudsman and may refer the matter the Ombudsman if he/she is not satisfied with the outcome of the complaint (by the council). Usually, all stages of the Local Authority's complaints procedure must be completed before the Ombudsman will look at any complaint. The Ombudsman can look at complaints about things that have gone wrong in a way that a service has been delivered; if the service has not been delivered at all; the way a decision has been made and has caused problems for you. The Ombudsman cannot question what a Council or Care Provider has done simply because you don't agree with it.
- 6.6 All children's complaints will normally have a right to access to Stage 2 and Stage 3 once accepted at Stage 1.
- 6.7 The Quality Assurance and Improvement Team are responsible for facilitating the complaints process. For help with this policy or process please contact <a href="mailto:quality@bolton.gov.uk">quality@bolton.gov.uk</a> or phone 01204 334236

#### 7. Safeguarding

- 7.1 Where the person involved in a complaint is a child or young person at risk and there is reason to believe that a person is deliberately or unknowingly causing them harm or has caused them harm, then consideration must be given to the safeguarding implications, both to the person at the center of the concerns and to other vulnerable children, young people who are potentially at risk in relation to the concerns.
- 7.2 Safeguarding investigations will take precedence to the complaint's investigation.
- 7.3 Further safeguarding guidance can be found via <u>Safeguarding Children's</u> Web page.

#### 8. Who Can Make a Complaint?

- 8.1 A person is eligible to make a complaint where the Local Authority has a power or duty to provide, or to secure the provision of, a service for that person and the need or possible need for such a service has come to the attention of the Local Authority. This also applies to a person acting on behalf of someone else.
- 8.2 Section 26(3) and Section 24 D of the Children Act, 1989 and Section 3(1) of the Adoption and Children Act, 2002 requires the responsible authority to consider representations including complaints made to it by:
  - Any child or young person (or parent or person who has parental responsibility) who
    is being 'looked after' by the local authority or is a 'child in need'.
  - Any local authority foster carer including those caring for children placed through independent fostering agencies.
  - Children leaving care.
  - Special guardians.
  - A child or young person (or parent) to whom a special guardian is in force.
  - Any person who has applied for an assessment under Section 14 F (3 or 4).
  - Any child or young person who may be adopted, their parents or guardians.
  - Persons wishing to adopt a child.
  - Any other person to whom arrangements for the provision of adoption services extend.
  - Adopted persons, their parents, natural parents and former guardians.
  - Such other persons as the Local Authority consider has sufficient interest in the child or young person's welfare to warrant their representations being considered by them.

#### 9. Who is Exempt from this Policy and Procedure?

- 9.1 The complaints part of this procedure does not apply when:
  - The person wishing to complain does not meet the requirements in section 8
  - Where the same complaint has already been dealt with at all stages of this procedure.
  - The complaint is unclear, frivolous or vexatious.
  - The complaint should be dealt with under other proceedings such as:
    - Disciplinary proceedings.
    - Grievance procedure.
    - Complaints from staff about personal issues.
    - Complaints that should be considered under the Council's Corporate Complaints Procedure.
    - Services for which an alternative statutory appeals process already exists.
    - Criminal investigation where court action is pending
    - Court proceedings.
- 9.2 The Council has discretion in deciding whether to consider/investigate complaints where to do so would prejudice any of the following concurrent investigations:
  - Court proceedings.
  - Tribunals.
  - Disciplinary proceedings.
  - Criminal proceedings.

There may be some matters which are more properly dealt with under a judicial process.

#### 10. Time Limit for Making a Complaint

- 10.1 In order for a complaint to be accepted under this policy, the complaint must be made no later than:
  - a) Twelve months after the incident occurred.
  - b) Twelve months after the complainant realised, they had reason to complain.
- 10.2 If a complaint is made outside of these time limits, the Department may decide to accept the complaint if the complainant had good reasons for not doing so within the stipulated time period, and it is still possible to investigate effectively and efficiently. Each instance will be considered on its own merit and a decision made by the Head of Quality Assurance and Improvement.

#### How to make a complaint

Complaints should be submitted to the QA Team using the contact details below and that if a complaint is made verbally it will be confirmed in writing.

The Quality Assurance and Improvement Team

1st Floor

Town Hall

Victoria Square

Bolton BL1 1RU

By email to <a href="mailto:quality@bolton.gov.uk">quality@bolton.gov.uk</a>

By telephone on 01204 334236

#### 11. Complaints about residential facilities.

- 11.1 Complaints made by children, or young people or their representative about the service they have received in a children's home run by Bolton Council will be handled under this policy.
- 11.2 Complaints from members of the public or from those not listed above, about Children's Homes run by Bolton Council will be dealt with under the Corporate Complaints Procedure.
- 11.3 Complaints about Children's Homes run by other organisations and commissioned by Bolton Council must be made to the organisation concerned. However, as part of their contract, organisations must make Bolton Council aware of all complaints received so that responses, learning and improvement can be monitored.

#### 12. Collective Complaints

12.1 Where a group of children or young people, or their representatives wishes to make a complaint, and the issues being raised are substantially the same or linked or they make the complaint together as a group, then these can be treated as one complaint.

#### 13. Anonymous Complaints

13.1 Anonymous complaints should be recorded and referred to the Quality Assurance and Improvement Team in the same way as other complaints. This policy does not apply to anonymous complaints, but they may be referred and investigated under other procedures such as whistleblowing or HR.

#### 14. Complaints made about Health and Social Care

14.1 When a complaint is received about health and social care we will liaise with our partners in Health and agree who will take lead responsibility for responding to the complaint/s. This will ensure the complainant will receive a joint response to their complaint/s.

#### 15. Complaints by Foster Carers

- 15.1 Local Authority foster carers are eligible to make representations and complaints on behalf of children and young people under these procedures in accordance with S.26(3) and S.24(d) of the Children Act 1989 and S.3(1) of the Adoption and Children Act 2002, regulation 12 of the Children Act, 1989 Representations Procedure (England) Regulations 2006.
- 15.2 Foster carers may also wish to make representations about the service they receive from the Council as foster carers. In the absence of specific guidance or regulation about this, Bolton Council has decided that such complaints will be considered to be Social Care Complaints (matters relating to services provided for children and young people) and subject to the same process as other matters within this policy.
- 15.3 Where a foster carer wishes to make representations about aspects of the process for reviewing their approval as a foster carer (6.1.3 Assessments and Approvals of Foster Carers S.10 Provision of Foster Care Services), they should do so within 28 days of the Fostering Panel Meeting, prior to the Assistant Director making a decision. Any such complaint will be dealt with at **Review Panel Stage** of this policy.

15.4 Where a prospective foster carer feels aggrieved about aspects of the application process, they may make a complaint to the Department prior to the Assistant Director making a decision regarding their suitability to become a foster carer (6.1.3 Assessment and Approvals of Foster Carers S.10 Provision of Foster carers) revision of Foster Care Services). Any complaint must be made within 10 working days of the second fostering panel meeting and will be investigated under this policy. The Assistant Director will make a decision within ten working days of the response to the complaint based on the recommendations of the fostering panel and any learning and service improvements identified in the complaints process. This decision is final.

#### 16. MP Queries

16.1 MPs raise case work via an electronic form, the response timescale for an MP query is 14 days.

#### 17. Independent Social Care Providers

17.1 Independent social care providers have their own complaints procedures and complaints about their services should be directed to the organisation providing the care. Bolton Council will ensure the provider has in place a complaints procedure that complies in all respects with any applicable legislation.

The Provider should send the Council's Quality Assurance and Improvement Team a copy of any complaint response in relation to any individual who receives a directly commissioned council service.

- 17.2 There are a number of instances when an exception would be made to this, which is:
  - When the complainant has already complained to the provider and is not satisfied by the response.
  - When the Complaints Manager considers it be a matter that relates more directly to the exercise of the local authority's functions which are covered by this policy.
  - When the complaint is about the Registered Manager.
  - When the complaint relates to issues which have been previously identified as an area
    of concern for the provider, or when there are on-going issues with the performance of
    a provider. If this is the case this will be brought to the attention of the Head of
    Contracts and Commissioning who will coordinate a response to the concerns raised.
  - When the complaint has safeguarding implications consideration should be given to Bolton's Safeguarding Adults and Safeguarding Children's Policy's as with any other concern of this nature. If appropriate, consideration should be given at the initial receipt of information as to whether there is any possibility the Independent Social Care Provider's Responsible Person is directly involved or complicit.
- 17.3 When complaints do come to the Council about an independent provider, the complaint will be passed to the provider to investigate and respond to; and a copy will be given to the Commissioning Team for intelligence purposes.
- 17.4 Independent providers must, as part of their contract, make Bolton Council aware of all complaints received so that responses, learning and improvement can be monitored.

#### 18. Confidentiality and Consent

- 18.1 All information received by the Department will be treated in strict confidence and will not be shared with any third party without the consent of the service user with the exception of becoming aware of a safeguarding concern. In order to investigate any complaint properly, information may need to be shared in order determine the outcome of an investigation
- 18.2 Any relative, carer or friend of a service user is entitled to make a complaint about the service they have received.
- 18.3 The Department can only provide a response to the complainant with the consent of the service user, their next of kin or person deemed to have sufficient interest. This is in line with guidelines laid down by the Data Protection Act 2018 in the interest of protecting service user confidentiality. The Act states that service user consent is fundamental to the collection and use of personal information and that releasing information without the service user's consent could amount to "unlawful disclosure".
- 18.4 For complaints made by a third party about the service received by a child, due regard must be given to the 'Fraser Guidelines'. The 'Fraser Guidelines' say that young people who are under 16 are competent to give valid consent to a particular intervention if they have sufficient understanding and intelligence to enable them to understand fully what is proposed and are capable of expressing their own wishes.

#### 19. Advocacy and Support

- 19.1 Complaints handling by the Council must be child and young person friendly and appropriate to their age and understanding. The Council is required to provide information about the advocacy service and offer help to obtain an advocate.
- 19.2 Parents or guardians who are complaining on a child's behalf may be offered advocacy to support them if they have a disability which affects their ability to engage fully in the complaints process. Evidence of their disability should be provided so that the resource is allocated appropriately.
- 19.3 For matters requiring legal support, complainants will be sign-posted to the Citizen's Advice Bureau (<a href="https://www.boltoncab.co.uk">www.boltoncab.co.uk</a>) or their own solicitor.

#### 20 Reasonable Adjustments

- 20.1 The Council is committed to taking action to ensure that the way we work does not place people with disabilities at a disadvantage. We want to remove the barriers some of our complainants have in making complaints.
- 20.2 We have a legal duty to consider making adjustments if we find that there are barriers to people with disabilities in the way we do things. If those adjustments are reasonable, they must be made.
- 20.3 The duty is 'anticipatory'. This means that the Council cannot wait until a person with a disability wants to use the service. We must think in advance, and on an ongoing basis, about what people with a range of impairments, such as people who have a visual or
  - hearing impairment, a mobility impairment or a learning disability, might reasonably need.
- 20.4 The Equality Act 2010 requires us to provide reasonable adjustments for people who are "disabled". Under the Act this means they have a "physical or mental impairment which has a substantial and long-term adverse effect on their ability to carry out normal day to day activities".

- 20.5 The duty is to make "reasonable adjustments" if the way that we carry out our functions places a disabled person at a "substantial disadvantage" compared to someone who does not have a disability.
- 20.6 We will do our best accommodate requests even if you don't have a disability as defined by the Equality Act 2010.

#### What are reasonable adjustments?

- 20.7 Reasonable adjustments are not defined by the Ac, but there is a Code of Practice which gives guidance as to the kind of adjustments that could be made.
- 20.8 Making a reasonable adjustment means making a change to our usual practices to avoid or correct a disadvantage to a person who has a disability in accessing our service. Depending on your needs, this could include:
  - Providing documents or correspondence in larger print, or with a specific colour contrast (which may help people with conditions such as dyslexia)
  - Giving you more time than would usually be allowed to provide further information or comments on your complaint
  - Using the telephone rather than written communication (e.g., if you have a visual disability)
  - Translating documents or correspondence into Braille
  - Communicating with you through your representative or advocate

#### Asking for reasonable adjustments

- 20.9 When you first contact us, we will ask you if you have a disability and whether you might need an adjustment to help you use our service, but you can also ask for a Reasonable Adjustment at any time during our consideration of your complaint.
- 20.10 We will also suggest new or additional adjustments if we feel it might help you continue to make the best use of our service.

#### Our response to requests

Before agreeing an adjustment, we will consider:

- What the disadvantage would be if the adjustment were not made
- Whether the adjustment will be effective in reducing the disadvantage
- How practical it is to make it
- Whether it would disrupt our other activities unreasonably
- The cost and availability of resources, including external help and finance
- 20.11 We will try to agree a reasonable adjustment with a minimum of delay, but in some cases we may need to consider the request in more detail.
- 20.12 There may be circumstances where we decide not to meet the request. The law says that an adjustment only has to be made if it is "reasonable". We need to take account of the cost or resource implications of making the adjustment, whether the request itself is reasonable and whether there is a less expensive way of meeting the request. Where it is very difficult to provide the adjustment or meeting it would interfere with our ability to meet our legal obligations, we may decide it is not "reasonable".
- 20.13 We will look at each request individually and will aim to agree any adjustments with you to avoid us making incorrect assumptions about your needs. Where we do not agree an adjustment, we will explain why. When we agree a reasonable adjustment we will let you know in writing.

#### 21. Withdrawal of Complaints

- 21.1 Complaints may be withdrawn orally or in writing at any time. The Complaint and Improvement Officer/s must be informed immediately if this happens. The Quality Complaint and Improvement Officer/s must then contact the person making the complaint confirming their decision.
- 21.2 The Complaint and Improvement Officer/s, Service Manager and Head of Service may review the issue of concern and consider whether further investigation is required through other internal management systems.

#### 22. Learning Lessons and Service Improvement

22.1 By listening to people about their experiences of social care services, the Department can resolve issues faster, learn new ways to improve and prevent the same problems from happening in the future. In short, by dealing with complaints more effectively and learning from them, services can get better, which will improve things for the people who use them as well as for the staff working in them.

#### 23. Complaints in Relation to Court Proceedings

- 23.1 Complaints can be made regarding:
  - Application for care and supervision orders.
  - The effect of a care order on a child or young person.
  - The Local Authority's actions and decisions where a care order is made.
  - Performance of duties where a supervision order is in force.
  - Application for and duties in relation to child assessment orders.
  - Application for Emergency Protection Orders.
  - Decisions relating to the return of children who have been removed on an Emergency Protection Order.
  - Quality/accuracy of social work information and reports provided to court.
  - The duties of the local authority in relation to the placement of children for adoption by adoption agencies.
  - The list is not exhaustive

#### This procedure is not an appeals process and cannot overturn a court's decision.

23.2 If the complaint is about the decision of the court, the complainant will be encouraged to seek legal advice about making an appeal at court. This will **not** be dealt with as a complaint. If the complaint is about the actions of the local authority and its staff it may be considered under this procedure.

- 23.3 If proceedings are ongoing, they cannot be frozen pending the outcome of a complaint. The outcome of a complaint <u>cannot overturn a court's decision</u>. If the substance of the complaint alleges inaccuracies in the information provided to court that might influence the decision of the court, the complainant will be encouraged to seek legal advice to address this within the court arena. This will be treated as a concurrent consideration.
- 23.4 Other matters may be considered while proceedings are ongoing, e.g.
  - Attitude / behaviour of staff.
  - · Delays in providing services.
  - Failure to make appropriate contact arrangements.
- 23.5 If the complaint is resolved before court proceedings are concluded, the Service Manager must consider whether the outcome has a bearing on the court proceedings and advise the court as necessary.
- 23.6 If the outcome of the complaint finds that inaccuracies in the information provided to court may have influenced the decision of the court, this may form the basis for the service user/complainant to make applications to the court for a decision to be reviewed.

#### 24. Complaints and Special Guardianship Support Services

- 24.1 Special Guardianship Regulations 2005 came into force on 30 December 2005, under those Regulations the following functions may be the subject of a representation or complaint:
  - financial support for Special Guardians;
  - support groups for children and young people to enable them to discuss matters relating to Special Guardianship;
  - assistance in relation to contact;
  - therapeutic services for children and young people; and
  - Assistance to ensure the continuation of the relationship between the child or young person and their Special Guardian or prospective Special Guardian.

#### 25. Unreasonably Persistent Complainants

- 25.1 The Council acknowledges that every service user has a right to complain.
- 25.2 Features of a 'persistent complainant' may include:
  - A person who makes the same complaint repeatedly (with minor differences), but never accepts the outcomes;
  - A person who seeks an unrealistic outcome and persists until it is reached; or
  - A person with a history of making other reasonably persistent complaints
- 25.3 An unreasonably persistent complaint is likely to include some or all of the following:
  - An historic and irreversible decision or incident
  - Frequent, lengthy, complicated and stressful contact with the local authority staff;
  - The complainant behaving in an aggressive manner to staff or being verbally abusive or threatening;
  - The complainant changing aspects of the complaint partway through the investigation or Review Panel

- The complainant making and breaking contact with the local authority on an ongoing basis; and
- The complainant persistently approaching the local authority through different routes about the same issue in the hope of getting different responses
- 25.4 On most occasions when we consider someone's behaviour unreasonable we will explain why and ask them to change it. We will also warn them that if the behaviour persists we will take action to restrict their contact with our services.
- 25.5 If the behaviour is so extreme that it threatens the immediate safety and welfare of our staff we may report the matter to the police or consider taking legal action. On such occasions we may not give any warnings.
- 25.6 The Council will not tolerate deceitful, abusive, threatening, violent or other forms of unacceptable behavior from complainants. When it occurs, we will take proportionate action to protect the well-being of our staff and the integrity of our processes.
- 25.7 In line with the Council's Unreasonable Complainant Behaviour Policy <u>link</u> the Monitoring Officer will decide whether the circumstances justify any restriction to services.

#### **Appendix 1: Complaints Process**

#### Investigating a formal complaint (Stage 1)

A Stage 1 investigation will normally be the responsibility of the manager of the team subject to the complaint/s.

When the complaints officer receives a complaint, this will be considered under Stage One and the Complaints Officer will:

Acknowledge the complaint within 3 working days.

Notify the appropriate line manager

Request that the line manager attempt to resolve the complaint within the timescales.

Complaints should be investigated and responded to within 20 working days of acknowledgement.

Complainants should be informed by the investigating officer if timescales require extending.

The purpose of an investigation is to:

- Establish the facts
- Identify what has gone wrong
- Identify what can be done to rectify the situation for the complainant
- Identify any improvement activity to avoid the same thing happening again in future
- Produce a response for the complainant which sets out clearly the findings, whether their complaint has been upheld, not upheld or partially upheld and what actions the Council has or will be taking.

#### Appeal (Stage 2)

If the service user or their representative is not satisfied with the stage 1 response, they can 'appeal'. The appeal must be made within 20 working days of receipt of the stage 1 response.

If someone appeals the outcome of stage 1, the matter will normally be investigated again by an Independent Investigator. The Assistant Director responsible for that service. The Independent Investigator will be supported by an Independent Person whose job is to make sure the Independent Investigator follows the correct process. The complainant will be notified of the allocated Investigator, and the contact details of the complainant will be shared with the Investigator.

The purpose of the stage 2 investigation is to look again at the facts and check the complaints policy has been followed.

The stage 2 investigation will be overseen by an Independent Person appointed by the QA team.

Stage 2 Complaints should be investigated and responded to within 25-65 working days of acknowledgement.

#### The Investigation

Upon being appointed, the investigating officer will:

Conduct and investigation, interviewing the complainant and staff as appropriate

Produce a report making recommendations about action to be considered.

Send a copy of the report to the complaints officer, this with the local authority's response needs to be sent to the complainant within 25-65 working days of the receipt of the complaint.

#### **Actions following investigation**

Upon receiving the Investigation Officers reports and any supplementary report provided by the Independent Person, the complaints officer will:

Send a copy of the report(s) to the relevant manager of the service complained about and, if the complaint concerns front-line service providers, the staff themselves.

Advise the complainant of the right to submit a request to the Complaints Manager within 20 working days that the complaint proceed to a stage Three Review Panel.

#### Stage Three - Review Panels

If the complainant remains dissatisfied after the stage 2 investigation, they have a right for their complaint to be reviewed by a panel of independent people.

The role of the Panel is to review the way in which the complaint has been investigated and, if required, make recommendations to the Council.

The Panel will be arranged and facilitated by the Quality Assurance and Improvement Team.

#### **Summary of Review Panel timescales**

| Action  | Time   |  |
|---|--|--|
| Complainant requests Review Panel   | Up to 20 working days after receipt of the Stage 2 adjudication      |  |
| Complaints Officer acknowledges request   | Within 2 working days  |  |
| Complaints Officer appoints Chair and confirms attendees and content of Panel papers with Chair | Within 10 working days of the complainant's request for Review Panel |  |
| Local authority agrees the other Panellists and date for Review Panel                           | Within 30 working days of the complainant's request for Review Panel |  |
| Local authority circulates Panel papers   | Within 10 working days of the date for the Review Panel              |  |
| Review Panel produces its written report (including any recommendations)                        | Within 5 working days of the Review Panel                            |  |
| Relevant Director issues his response   | Within 15 working days of receiving the Review Panel's report        |  |

#### Appendix 2: Response Letter template - Stage 1 complaint.

Date: Your Ref:

Our Ref: Complaint Reference Number

Please remove blue text as this is for guidance only

Name

Email/address of complainant



Directorate of Children's Services c/o Quality Assurance and Improvement Team 1st Floor, Town Hall Bolton BL1 1RU

Tel:

01204 334236

www.bolton.gov.uk

Dear, Name

#### Complaint Against Bolton Children's Services - Stage 1 Complaint

I am writing to you as I have now received and considered your complaint which was received on the date. This letter will set out my response to the complaint you have raised.

In the first instance I would like to apologise that we have been unable to resolve the issues with you before now, as I appreciate the complaints procedure can be a lengthy process and it is always our intention to try to resolve concerns informally and find the earliest possible resolution to any concerns that have been raised with us by any of our service users.

It is my duty to write this response letter within the agreed timescales.

I hope this response will provide a comprehensive, open transparent and fair consideration of your complaint.

#### **Complaint Summary**

Please insert the complaint summary and the complainants desired outcome.

Also advise here in brief what you did to investigate the complaint i.e., did you speak to the complainant and take a statement, speak to other officers, interrogate case notes, check against legislation etc.

#### Response

Where there are multiple points to the complaint, split the response down into each separate point and, under each part of the response, advise:

1) Your decision, have you upheld/not upheld the complaint also give the rationale for your decision.

2) What learning you have taken from the complaint and, what service improvements you are going introduce and when these will be in place. Even if you have not upheld the complaint there may still be learning that you can take from the complaints investigation.

#### Conclusion

I hope that the above offers a satisfactory conclusion to your complaint, however if you are unhappy with the outcome of your complaint you can appeal the decision at Stage 2 of the complaints process by emailing <a href="mailto:quality@bolton.gov.uk">quality@bolton.gov.uk</a> within 20 working days.

Yours sincerely Name **Job Title**